

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

**2009****Open to Public Inspection****A For the 2009 calendar year, or tax year beginning**, and ending

- B** Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

**C** Name of organization **Polaris Project**

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

P.O. Box 53315

City or town, state or country, and ZIP + 4

Washington

DC

20009

**D** Employer identification number

03-0391561

**E** Telephone number

(202) 745-1001

**G** Gross receipts \$ 3,755,801**F** Name and address of principal officer:

Bradley Myles P. O. Box 53315, Washington, DC 20009

**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

**I** Tax-exempt status: ☒ 501(c) ( 3 ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ www.polarisproject.org**H(c)** Group exemption number ▶**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation 2002**M** State of legal domicile: DC**Part I Summary**

**1** Briefly describe the organization's mission or most significant activities: Polaris Project's mission is for a world without slavery. One of the largest anti-trafficking orgs in the US and Japan, provides a comprehensive approach to combat human trafficking by (1) providing outreach resources (trans. housing & social srvs) to victims, (2) operating the Nat'l Human Trafficking Resource Center (NHTRC) including the central nat'l hotline & (3) advocating for stronger state and Federal anti-trafficking legislation.

**2** Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

**3** Number of voting members of the governing body (Part VI, line 1a) . . . . .

3

6

**4** Number of independent voting members of the governing body (Part VI, line 1b) . . . . .

4

4

**5** Total number of employees (Part V, line 2a) . . . . .

5

56

**6** Total number of volunteers (estimate if necessary) . . . . .

6

55

**7a** Total gross unrelated business revenue from Part VIII, column (A), line 12 . . . . .

7a

0

**b** Net unrelated business taxable income from Form 990-T, line 34 . . . . .

7b

0

**8** Contributions and grants (Part VIII, line 1h) . . . . .

**9** Program service revenue (Part VIII, line 2g) . . . . .

**10** Investment income (Part VIII, column (A), lines 3, 4, and 7d) . . . . .

**11** Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) . . . . .

**12** Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) . . . . .

Prior Year

Current Year

2,741,530

3,720,685

0

0

0

10,062

8,664

25,054

2,750,194

3,755,801

**13** Grants and similar amounts paid (Part IX, column (A), lines 1–3) . . . . .

0

40,527

**14** Benefits paid to or for members (Part IX, column (A), line 4) . . . . .

0

0

**15** Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) . . . . .

1,155,062

1,590,099

**16a** Professional fundraising fees (Part IX, column (A), line 11e) . . . . .

0

0

**b** Total fundraising expenses (Part IX, column (D), line 25) ▶ 152,848

**17** Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) . . . . .

1,065,891

1,528,092

**18** Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) . . . . .

2,220,953

3,158,718

**19** Revenue less expenses. Subtract line 18 from line 12 . . . . .

529,241

597,083

**20** Total assets (Part X, line 16) . . . . .

1,003,780

1,620,078

**21** Total liabilities (Part X, line 26) . . . . .

54,051

73,266

**22** Net assets or fund balances. Subtract line 21 from line 20 . . . . .

949,729

1,546,812

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer

Type or print name and title

Bradley Myles, Executive Director

**Paid Preparer's Use Only**

Preparer's signature

Elizabeth Leith, CPA

Firm's name (or yours if self-employed), address, and ZIP + 4

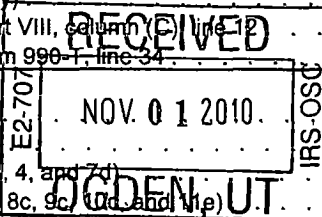
Haymaker and Associates

1730 M Street, NW, Ste. 805, Was

May the IRS discuss this return with the preparer shown above? (see instructions)

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions (HTA)

SCANNED NOV 17 2010



10/27/10

**Part III Statement of Program Service Accomplishments****1** Briefly describe the organization's mission:

To combat human trafficking by (1) providing outreach resources (transitional housing and social services) to victims, (2) operating the Nat'l Human Trafficking Resource Center (NHTRC) including the central national hotline and (3) advocating for stronger state and Federal anti-trafficking legislation.

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ 2,541,783 including grants of \$ 0 ) (Revenue \$ 0 )

See attached list

**4b** (Code: ) (Expenses \$ 0 including grants of \$ 0 ) (Revenue \$ 0 )

**4c** (Code: ) (Expenses \$ 0 including grants of \$ 0 ) (Revenue \$ 0 )

**4d** Other program services. (Describe in Schedule O.)

(Expenses \$ 0 including grants of \$ 0 ) (Revenue \$ 0 )

**4e** Total program service expenses ▶ 2,541,783

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A . . . . .	<b>1</b> X	
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors? . . . . .	<b>2</b> X	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .	<b>3</b>	X
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II . . . . .	<b>4</b> X	
<b>5</b> <b>Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.</b> Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III . . . . .	<b>5</b>	
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I . . . . .	<b>6</b>	X
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . .	<b>7</b>	X
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .	<b>8</b>	X
<b>9</b> Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .	<b>9</b>	X
<b>10</b> Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . . .	<b>10</b>	X
<b>11</b> Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable . . . . .	<b>11</b> X	
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.		
• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.		
• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		
• Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.		
<b>12</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII . . . . .	<b>12</b> X	
<b>12A</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional. . . . .	<b>12A</b> Yes No X	
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .	<b>13</b>	X
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	<b>14a</b> X	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I . . . . .	<b>14b</b> X	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II . . . . .	<b>15</b> X	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III . . . . .	<b>16</b> X	
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I . . . . .	<b>17</b>	X
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .	<b>18</b>	X
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .	<b>19</b>	X
<b>20</b> Did the organization operate one or more hospitals? If "Yes," complete Schedule H . . . . .	<b>20</b>	X

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II . . . . .</i>	X	
<b>22</b> Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III . . . . .</i>		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J . . . . .</i>		X
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25 . . . . .</i>		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		X
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		X
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .		X
<b>25a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I . . . . .</i>		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I . . . . .</i>		X
<b>26</b> Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II . . . . .</i>		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III . . . . .</i>		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		X
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		X
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M . . . . .</i>	X	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M . . . . .</i>		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I . . . . .</i>		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II . . . . .</i>		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I . . . . .</i>		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1 . . . . .</i>		X
<b>35</b> Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>		X
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI . . . . .</i>		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. . . . .	X	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable . . . . .	2	
<b>1b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . . . . .	0	
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	X	
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .	56	
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? . . . . . <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	X	
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? . . . . .		X
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O . . . . .		
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . .	X	
<b>b</b>	If "Yes," enter the name of the foreign country: <u>Japan</u> See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .		X
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? . . . . .		X
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? . . . . .		
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? . . . . .		X
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .		
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .		X
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .		
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .		X
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year . . . . .	7d	
<b>e</b>	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .		X
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .		X
<b>g</b>	For all contributions of qualified intellectual property, did the organization file Form 8899 as required? . . . . .		
<b>h</b>	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? . . . . .	X	
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . .		
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the organization make any taxable distributions under section 4966? . . . . .		
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person? . . . . .		
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12 . . . . .	10a	
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . . . . .	10b	
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:		
<b>a</b>	Gross income from members or shareholders . . . . .	11a	
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .	11b	
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . . . .		
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year . . . . .	12b	

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body . . . . .	1a	6
<b>b</b> Enter the number of voting members that are independent . . . . .	1b	4
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .	2	X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .	3	X
<b>4</b> Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? . . . . .	4	X
<b>5</b> Did the organization become aware during the year of a material diversion of the organization's assets? . . . . .	5	X
<b>6</b> Does the organization have members or stockholders? . . . . .	6	X
<b>7a</b> Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? . . . . .	7a	X
<b>b</b> Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . . . .	7b	X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body? . . . . .	8a	X
<b>b</b> Each committee with authority to act on behalf of the governing body? . . . . .	8b	X
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .	9a	X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Does the organization have local chapters, branches, or affiliates? . . . . .	10a	X
<b>b</b> If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? . . . . .	10b	
<b>11</b> Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? . . . . .	11	X
<b>11A</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990. . . . .		
<b>12a</b> Does the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	12a	X
<b>b</b> Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	12b	X
<b>c</b> Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done . . . . .	12c	X
<b>13</b> Does the organization have a written whistleblower policy? . . . . .	13	X
<b>14</b> Does the organization have a written document retention and destruction policy? . . . . .	14	X
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official. . . . .	15a	X
<b>b</b> Other officers or key employees of the organization . . . . .	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.) . . . . .		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .	16a	X
<b>b</b> If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .	16b	

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed ► RI

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.  
☒ Own website ☒ Another's website ☒ Upon request

**19** Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Polaris Project (202) 745-1001  
P. O. Box 53315, Washington, DC 20009







**Part VIII Statement of Revenue**

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
<b>Contributions, gifts, grants and other similar amounts</b>	<b>1a</b>	Federated campaigns . . . . .	<b>1a</b> 0				
	<b>b</b>	Membership dues . . . . .	<b>1b</b> 0				
	<b>c</b>	Fundraising events . . . . .	<b>1c</b> 0				
	<b>d</b>	Related organizations . . . . .	<b>1d</b> 0				
	<b>e</b>	Government grants (contributions) . . . . .	<b>1e</b> 1,006,597				
	<b>f</b>	All other contributions, gifts, grants, and similar amounts not included above . . . . .	<b>1f</b> 2,714,088				
	<b>g</b>	Noncash contributions included in lines 1a-1f: \$	753,954				
	<b>h</b>	<b>Total.</b> Add lines 1a-1f . . . . .	▶ 3,720,685				
<b>Program Service Revenue</b>	<b>2a</b>	Business Code		0			
	<b>b</b>			0			
	<b>c</b>			0			
	<b>d</b>			0			
	<b>e</b>			0			
	<b>f</b>	All other program service revenue . . . . .		0			
	<b>g</b>	<b>Total.</b> Add lines 2a-2f . . . . .	▶ 0				
<b>Other Revenue</b>	<b>3</b>	Investment income (including dividends, interest, and other similar amounts) . . . . .	▶	10,062			10,062
	<b>4</b>	Income from investment of tax-exempt bond proceeds . . . . .	▶	0			
	<b>5</b>	Royalties . . . . .	▶	0			
	<b>6a</b>	Gross Rents . . . . .	(i) Real (ii) Personal				
	<b>b</b>	Less: rental expenses . . . . .					
	<b>c</b>	Rental income or (loss) . . . . .	0 0				
	<b>d</b>	Net rental income or (loss) . . . . .	▶	0			
	<b>7a</b>	Gross amount from sales of assets other than inventory . . . . .	(i) Securities (ii) Other				
	<b>b</b>	Less: cost or other basis and sales expenses . . . . .					
	<b>c</b>	Gain or (loss) . . . . .	0 0				
	<b>d</b>	Net gain or (loss) . . . . .	▶	0			
	<b>8a</b>	Gross income from fundraising events (not including \$ 0 of contributions reported on line 1c). See Part IV, line 18 . . . . .	<b>a</b> 0				
	<b>b</b>	Less: direct expenses . . . . .	<b>b</b> 0				
	<b>c</b>	Net income or (loss) from fundraising events . . . . .	▶	0			
	<b>9a</b>	Gross income from gaming activities. See Part IV, line 19 . . . . .	<b>a</b> 0				
	<b>b</b>	Less: direct expenses . . . . .	<b>b</b> 0				
	<b>c</b>	Net income or (loss) from gaming activities . . . . .	▶	0			
<b>10a</b>	Gross sales of inventory, less returns and allowances . . . . .	<b>a</b> 0					
<b>b</b>	Less: cost of goods sold . . . . .	<b>b</b> 0					
<b>c</b>	Net income or (loss) from sales of inventory . . . . .	▶	0				
<b>Miscellaneous Revenue</b>			<b>Business Code</b>				
<b>11a</b>	Honorariums . . . . .		25,054	25,054			
<b>b</b>			0				
<b>c</b>			0				
<b>d</b>	All other revenue . . . . .		0				
<b>e</b>	<b>Total.</b> Add lines 11a-11d . . . . .	▶	25,054				
<b>12</b>	<b>Total revenue.</b> See instructions . . . . .	▶	3,755,801	25,054	0	10,062	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21 . . . . .	40,527	40,527		
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22 . . . . .	0			
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16 . . . . .	0			
4	Benefits paid to or for members . . . . .	0			
5	Compensation of current officers, directors, trustees, and key employees . . . . .	202,768	132,384	33,109	37,275
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0			
7	Other salaries and wages . . . . .	1,158,892	888,861	225,636	44,395
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	0			
9	Other employee benefits . . . . .	111,406	83,555	21,167	6,684
10	Payroll taxes . . . . .	117,033	87,775	22,236	7,022
11	Fees for services (non-employees):				
a	Management . . . . .	0			
b	Legal . . . . .	321,403	275,630	45,773	
c	Accounting . . . . .	8,000	4,000	4,000	
d	Lobbying . . . . .	0			
e	Professional fundraising services. See Part IV, line 17	0			
f	Investment management fees . . . . .	0			
g	Other . . . . .	91,130	62,500	28,630	0
12	Advertising and promotion . . . . .	3,194	2,517	677	
13	Office expenses . . . . .	58,355	46,313	7,002	5,040
14	Information technology . . . . .	41,592	32,446	4,992	4,154
15	Royalties . . . . .	0			
16	Occupancy . . . . .	254,490	200,538	30,539	23,413
17	Travel . . . . .	38,771	30,551	2,467	5,753
18	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0			
19	Conferences, conventions, and meetings . . . . .	8,794	6,930		1,864
20	Interest . . . . .	0			
21	Payments to affiliates . . . . .	0			
22	Depreciation, depletion, and amortization . . . . .	12,653	0	12,653	0
23	Insurance . . . . .	62,411	49,181	12,040	1,190
24	Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a	Fellowships/Stipends . . . . .	380,445	380,445		
b	Postage, delivery, printing and duplication . . . . .	14,372	11,325	609	2,438
c	Communication . . . . .	63,852	50,315	3,384	10,153
d	Client services . . . . .	26,731	26,731		
e	Utilities . . . . .	12,401	9,772	1,488	1,141
f	All other expenses All Other . . . . .	129,498	119,487	7,685	2,326
25	<b>Total functional expenses.</b> Add lines 1 through 24f	3,158,718	2,541,783	464,087	152,848
26	<b>Joint costs.</b> Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation . . . . .				

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year
<b>Assets</b>	1 Cash—non-interest-bearing . . . . .	807,567	1	1,022,939
	2 Savings and temporary cash investments . . . . .		2	
	3 Pledges and grants receivable, net . . . . .	132,934	3	506,872
	4 Accounts receivable, net . . . . .	0	4	0
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .	0	5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L . . . . .	0	6	
	7 Notes and loans receivable, net . . . . .	0	7	0
	8 Inventories for sale or use . . . . .		8	
	9 Prepaid expenses and deferred charges . . . . .		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	78,238		
	b Less: accumulated depreciation . . . . .	37,368	10c	40,870
	11 Investments—publicly traded securities . . . . .	0	11	0
	12 Investments—other securities. See Part IV, line 11 . . . . .	0	12	0
	13 Investments—program-related. See Part IV, line 11 . . . . .	0	13	0
	14 Intangible assets . . . . .	0	14	0
	15 Other assets. See Part IV, line 11 . . . . .	24,310	15	49,397
16 <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	1,003,780	16	1,620,078	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .	13,043	17	10,883
	18 Grants payable . . . . .		18	
	19 Deferred revenue . . . . .		19	
	20 Tax-exempt bond liabilities . . . . .	0	20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .	0	22	
	23 Secured mortgages and notes payable to unrelated third parties . . . . .	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties . . . . .	0	24	0
	25 Other liabilities. Complete Part X of Schedule D . . . . .	41,008	25	62,383
	26 <b>Total liabilities.</b> Add lines 17 through 25 . . . . .	54,051	26	73,266
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	27 Unrestricted net assets . . . . .	638,679	27	1,036,937
	28 Temporarily restricted net assets . . . . .	311,050	28	509,875
	29 Permanently restricted net assets . . . . .		29	
	<b>Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	30 Capital stock or trust principal, or current funds . . . . .		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund . . . . .		31	
	32 Retained earnings, endowment, accumulated income, or other funds . . . . .		32	
	33 <b>Total net assets or fund balances . . . . .</b>	949,729	33	1,546,812
34 <b>Total liabilities and net assets/fund balances . . . . .</b>	1,003,780	34	1,620,078	

**Part XI Financial Statements and Reporting**

**1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other \_\_\_\_\_  
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

**2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .

**b** Were the organization's financial statements audited by an independent accountant? . . . . .

**c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . . . . .  
 If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

**d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both: . . . . .

☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis

**3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .

**b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
<b>2a</b>		X
<b>2b</b>	X	
<b>2c</b>	X	
<b>3a</b>	X	
<b>3b</b>	X	

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

**2009**

**Open to Public  
Inspection**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I      b ☐ Type II      c ☐ Type III—Functionally integrated      d ☐ Type III—Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box. ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
- (ii) A family member of a person described in (i) above? ☐
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
									0
									0
									0
									0
									0
									0
									0
<b>Total</b>									0

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	502,842	700,742	1,358,986	2,255,498	2,991,785	7,809,853
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .	0	0				0
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .	0	0				0
<b>4 Total.</b> Add lines 1 through 3 . . . . .	502,842	700,742	1,358,986	2,255,498	2,991,785	7,809,853
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						925,652
<b>6 Public support.</b> Subtract line 5 from line 4 . . . . .						6,884,201

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>7</b> Amounts from line 4 . . . . .	502,842	700,742	1,358,986	2,255,498	2,991,785	7,809,853
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	0	0	0	0	10,062	10,062
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						0
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .	0	0	0	0		0
<b>11 Total support.</b> Add lines 7 through 10 . . . . .						7,819,915
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . ▶ <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	88.03%
<b>15</b> Public support percentage from 2008 Schedule A, Part II, line 14 . . . . .	<b>15</b>	100.00%
<b>16a 33 1/3% support test—2009.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . . ▶ <input checked="" type="checkbox"/>		
<b>b 33 1/3% support test—2008.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . . ▶ <input type="checkbox"/>		
<b>17a 10%-facts-and-circumstances test—2009.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. . . . . ▶ <input type="checkbox"/>		
<b>b 10%-facts-and-circumstances test—2008.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. . . . . ▶ <input type="checkbox"/>		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ▶ <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	0	0				0
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . .	0	0				0
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						0
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .	0	0				0
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .	0	0				0
<b>6 Total.</b> Add lines 1 through 5 . . . . .	0	0	0	0	0	0
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .						0
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .						0
<b>c</b> Add lines 7a and 7b . . . . .	0	0	0	0	0	0
<b>8 Public support</b> (Subtract line 7c from line 6.) . . . . .						0

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>9</b> Amounts from line 6 . . . . .	0	0	0	0	0	0
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .						0
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .						0
<b>c</b> Add lines 10a and 10b . . . . .	0	0	0	0	0	0
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .						0
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .	0	0				0
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .	0	0	0	0	0	0
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f)) . . . . .	<b>15</b>	0.00%
<b>16</b> Public support percentage from 2008 Schedule A, Part III, line 15 . . . . .	<b>16</b>	0.00%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2009</b> (line 10c, column (f) divided by line 13, column (f)) . . . . .	<b>17</b>	0.00%
<b>18</b> Investment income percentage from <b>2008</b> Schedule A, Part III, line 17 . . . . .	<b>18</b>	0.00%
<b>19a 33 1/3% support tests—2009.</b> If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>b 33 1/3% support tests—2008.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>20 Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . . <input type="checkbox"/>		

**Part IV**

**Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

Area for supplemental information with horizontal dashed lines.



# Political Campaign and Lobbying Activities

OMB No 1545-0047

**2009**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

**If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <b>Polaris Project</b>	Employer identification number <b>03-0391561</b>
--	---

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures . . . . . ▶ \$
- 3 Volunteer hours . . . . .

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . . . ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . . ☐ Yes ☐ No
- 4a Was a correction made? . . . . . ☐ Yes ☐ No
- b If "Yes," describe in Part IV

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . . ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$ 0
- 4 Did the filing organization file Form 1120-POL for this year? . . . . . ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-
			0	0
			0	0
			0	0
			0	0
			0	0
			0	0
			0	0

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).A Check ☐ if the filing organization belongs to an affiliated group.B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .	11,372	0												
b	Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .	6,694	0												
c	Total lobbying expenditures (add lines 1a and 1b) . . . . .	18,066	0												
d	Other exempt purpose expenditures . . . . .	2,386,698	0												
e	Total exempt purpose expenditures (add lines 1c and 1d) . . . . .	2,404,764	0												
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	270,238	0												
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g	Grassroots nontaxable amount (enter 25% of line 1f) . . . . .	67,560	0												
h	Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .	0	0												
i	Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .	0	0												
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No														

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount	6,800	11,846	11,233	18,066	47,945
b Lobbying ceiling amount (150% of line 2a, column(e))					71,918
c Total lobbying expenditures	6,800	11,846	11,233	18,066	47,945
d Grassroots nontaxable amount	0	0		0	0
e Grassroots ceiling amount (150% of line 2d, column (e))					0
f Grassroots lobbying expenditures	0	0		0	0



**Part IV** Supplemental Information *(continued)*

Area for supplemental information with horizontal dashed lines.

**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

- ▶ Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11, or 12.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

**2009**

**Open to Public  
Inspection**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year . . . . .		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year) . .		
4 Aggregate value at end of year . . . . .		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . . ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . . ☐ Yes ☐ No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements . . . . .	2a
b Total acreage restricted by conservation easements . . . . .	2b
c Number of conservation easements on a certified historic structure included in (a) . . . . .	2c
d Number of conservation easements included in (c) acquired after 8/17/06 . . . . .	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . . ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . . ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$

(ii) Assets included in Form 990, Part X . . . . . ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:

a Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$

b Assets included in Form 990, Part X . . . . . ▶ \$

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other .....

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
c Beginning balance	0
d Additions during the year	
e Distributions during the year	
f Ending balance	0

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☒ No

b If "Yes," explain the arrangement in Part XIV.

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	0				
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	0	0			

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment %

b Permanent endowment %

c Term endowment %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

**Part VI Investments—Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	0	0		0
b Buildings	0	0	0	0
c Leasehold improvements	0	0	0	0
d Equipment	0	78,238	37,368	40,870
e Other	0	0	0	0
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				40,870

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives . . . . .	0	
Closely-held equity interests . . . . .	0	
Other . . . . .	0	
-----	0	
-----	0	
-----	0	
-----	0	
-----	0	
-----	0	
-----	0	
-----	0	
-----	0	
-----	0	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 12) ▶	0	

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶	0	

**Part IX**      **Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
	C
	C
	C
	C
	C
	C
	C
	C
	C
	C
	C
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ▶	C

**Part X**      **Other Liabilities.** See Form 990, Part X, line 25.

<b>1.</b>	<b>(a) Description of liability</b>	<b>(b) Amount</b>
	Federal income taxes	0
	Payroll Liabilities	62,383
		0
		0
		0
		0
		0
		0
		0
		0
		0
		0
	Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	62,383

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

**Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements**

1	Total revenue (Form 990, Part VIII, column (A), line 12) . . . . .	1	3,755,801
2	Total expenses (Form 990, Part IX, column (A), line 25) . . . . .	2	3,158,718
3	Excess or (deficit) for the year. Subtract line 2 from line 1 . . . . .	3	597,083
4	Net unrealized gains (losses) on investments . . . . .	4	
5	Donated services and use of facilities . . . . .	5	
6	Investment expenses . . . . .	6	
7	Prior period adjustments . . . . .	7	
8	Other (Describe in Part XIV.) . . . . .	8	
9	Total adjustments (net). Add lines 4 through 8 . . . . .	9	0
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9 . . . . .	10	597,083

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

1	Total revenue, gains, and other support per audited financial statements . . . . .	1	3,755,801
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments . . . . .	2a	
b	Donated services and use of facilities . . . . .	2b	
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIV.) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	0
3	Subtract line 2e from line 1 . . . . .	3	3,755,801
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV.) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	0
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) . . . . .	5	3,755,801

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

1	Total expenses and losses per audited financial statements . . . . .	1	3,158,718
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities . . . . .	2a	
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIV.) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	0
3	Subtract line 2e from line 1 . . . . .	3	3,158,718
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV.) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	0
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) . . . . .	5	3,158,718

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.



**Part XIV** Supplemental Information *(continued)*

Area for supplemental information with horizontal dashed lines.

**Schedule F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

**2009**

**Open to Public  
Inspection**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

**2 For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States.

**3 Activities per Region.** (Use Schedule F-1 (Form 990) if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	1	1	Program Service	Outreach, training	123,432
	0	0		awareness and advocacy	0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
	0	0			0
<b>Totals</b>	1	1			123,432

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2009

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 . . . . . ☐  
Use Schedule F-1 (Form 990) if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		
					0		0		

**2** Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . **▶** \_\_\_\_\_

**3** Enter total number of other organizations or entities . . . . . **▶** \_\_\_\_\_

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Contractor - Shihoko Fujiwara	East Asia and the Pacific	1	38,750	Wire	0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		
		0	0		0		

**Part IV** **Supplemental Information**

Complete this part to provide the information required in Part I, line 2, and any additional information.

Part I Line 2 The organization monitors the use of grant funds outside the United States,

similar to use of any grants funds within the U.S. Organizations receiving grant funds

must provide monthly or other regularly scheduled receipts for expenses that align with

expense reports. Most funds are provided on a reimbursements basis, determined by the

existence of accurate expense reports and documentation that are properly translated (if

needed). Annual audits, which may include on-site visits.

**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22.

▶ Attach to Form 990.

OMB No 1545-0047

**2009**

**Open to Public  
Inspection**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I General Information on Grants and Assistance**

**1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ **Yes** ☐ **No**

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ☐

<b>1 (a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Ayuda, Inc 1707 Kalorama Rd., NW Washing	52-0971440	501c3	30,527	0	FMV	N.A	Human Trafficking
Sasha Bruce 741 8th St., SE Washington, DC 2	52-1006486	501c3	10,000	0	FMV	NA	Human Trafficking
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			
			0	0			

**2** Enter total number of section 501(c)(3) and government organizations . . . . . **2**  
**3** Enter total number of other organizations . . . . . **0**

**For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.**

(HTA)

Schedule I (Form 990) 2009

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
	0	0	0		
	0	0	0		
	0	0	0		
	0	0	0		
	0	0	0		
	0	0	0		
	0	0	0		
	0	0	0		

**Part IV** **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Part I Line 2 The Organization monitors the use of grant funds inside the United States, similar

to use of any grant funds within the U.S. Organizations receiving grant funds must

provide monthly - or other regularly scheduled receipts for expenses that align with

expense reports. Most funds are provided on a reimbursement basis, determined

by the existence of accurate expense reports and documentation that are properly

translated. Annual audits, which may include on-site visits, occur for any grantee

receiving any installment of funds in advance.

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

- Complete if the organization answered "Yes" to Form 990,  
Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

**2009**

**Open to Public  
Inspection**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain . . . . .

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? . . .

**3** Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Compensation committee   | <input type="checkbox"/> Written employment contract                     |
| <input type="checkbox"/> Independent compensation consultant | <input type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations     | <input type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? . . . . .
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? . . . . .
- c** Participate in, or receive payment from, an equity-based compensation arrangement? . . . . .
- If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III . . . . .

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III . . . . .

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? . . . . .

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

(HTA)

Schedule J (Form 990) 2009



For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0
	(i)	0	0	0	0	0	0	0
	(ii)	0	0	0	0	0	0	0

**Part III**   **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

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**SCHEDULE J-1**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule J (Form 990)**

OMB No 1545-0047

**2009**

**Open to Public  
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- ▶ **Attach to Form 990 to list additional information for Schedule J (Form 990), Part II.**  
▶ **See Instructions for Schedule J (Form 990).**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (Schedule J, Part II)**

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0
	(i) 0	(ii) 0	(iii) 0	0	0	0	0
	(ii) 0	(ii) 0	(iii) 0	0	0	0	0

**SCHEDULE M**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Noncash Contributions**

- Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

OMB No 1545-0047

**2009**

**Open To Public  
Inspection**

Name of the organization

Polaris Project

Employer identification number

03-0391561

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art . . . . .				
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .	X	1	2,150	FMV
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .				
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ► ( Legal Services ) . . . . .	X	9	321,403	FMV
26 Other ► ( Fellows ) . . . . .	X	40	380,444	FMV
27 Other ► ( Tech Services ) . . . . .	X	3	42,742	FMV
28 Other ► ( Client Materials ) . . . . .	X	26	7,215	FMV

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .

29

30 a During the year, did the organization receive by contribution any property reported in Part I, lines 1–28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? . . . . .

32 a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		X
31	X	
32a		X

**Part II**

**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Area with horizontal dashed lines for supplemental information.

**SCHEDULE O  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

Polaris Project

**Supplemental Information to Form 990**

Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.  
▶ Attach to Form 990.

OMB No. 1545-0047

**2009**

**Open to Public  
Inspection**

Employer identification number

03-0391561

Form 990 Part VI Section A Line 2 Derek Ellerman and Mei-Mei Ellerman are members of the Board of

Directors and share a family relationship.

Form 990 Part VI Section B Line 11a The Form 990 is first reviewed by senior management staff and

the Board of Director's Finance Committee. Once the Committee's comments have been addressed, the

full Board of Directors reviews the Form 990 and votes on its approval before submission.

Form 990 Part VI Section B Line 12c The Organization has a detailed Ethics and Conflicts of Interest

Policy described in its organizational Policy Manual, last updated by the Board of Directors in

2009. Procedures include the duty to disclose, determining on whether a conflict of interest exists,

and addressing any real or potential conflicts. The organization implements this practice before

every significant discussion and board vote and any interested persons are expected to disclose

conflicts in any other situations. ☐ ☐

Form 990 Part VI Section B Line 15a The process for determining the compensation of the

organization's CEO and Executive Director included a review of region-specific salary surveys within

the non-profit sector, and comparisons of Form 990 information of similarly -sized organizations

within the field and related fields. The research and review process for determining the

compensation are substantiated within the minutes and associated records of the Board and relevant

Board committees.

Form 990 Part VI Section B Line 15b The process for determining the compensation of other officers

or key employees of the organization included a review of region-specific salary surveys within the

non-profit sector, and comparisons of Form 990 information of similarly-sized organizations with the

field or related fields. The research and review process for determining the compensation are

substantiated within the human resource files and/or the minutes and associated records of the Board

and relevant Board committees, depending on the position.

Form 990 Part VI Section C Line 19 The organization provides the following governing documents to

the public through its own website or third-party websites: Bylaws, Articles of Incorporation,

Mission and Values Statements; Audited Financial Statements; and Form 990 Reports. These documents

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

(HTA)

Schedule O (Form 990) 2009

Name of the organization

Employer identification number

Polaris Project

03-0391561

can also be requested by contacting the office. Additional financial and governance documents,

including conflict of interest policies, may be shared with the public upon request.

**Part V, Line 4b (990) - Authority over a Financial Account in a Foreign Country**

At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	
If "Yes," enter the name of the foreign country:	
1	Japan
2	
3	
4	
5	
6	
7	
8	
9	
10	



**Part I, Lines 25-28 (Sch M (990)) - Other Types of Property**

	Non-Cash Contribution	Description	Number of Contributions	Revenues Reported on 990, Pt VIII, Line 1g	Method of Determining Revenues
1	X	Legal Services	9	321,403	FMV
2	X	Fellows	40	380,444	FMV
3	X	Tech Services	3	42,742	FMV
4	X	Client Materials	26	7,215	FMV
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					

Polaris Project  
03-0391561  
Form 990

Other Expenses Line 24 (f)  
Statement of Functional Expenses  
PART IX

	Total Expenses	Program Services	Mgmt & General	Fundraising
Subcontractors	70,361	70,361	0	0
Repairs and maintenance	19,794	15,598	3,693	503
Licenses and fees	2,730	2,151	579	0
Bank fees	2,345	1,848	348	149
Community outreach	1,953	1,539	352	62
Miscellaneous	4,133	3,257	876	0
Custodial services	13,005	13,005	0	0
Dues and Subscriptions	6,089	4,567	1,066	456
Staff and volunteer appreciation	<u>9,088</u>	<u>7,161</u>	<u>771</u>	<u>1,156</u>
<b>Total - Line 24(f)</b>	<b><u>129,498</u></b>	<b><u>119,487</u></b>	<b><u>7,685</u></b>	<b><u>2,326</u></b>

**Polaris Project  
2009 Form 990  
03-0391561  
Statement 2**

**Summary: Major Programs**

Total Program Expenses (includes in-kind goods and services) = \$2,541,783

- Training, Technical Assistance, and Strategic Support Program (TTASP) → \$737,117
- DC Trafficking Intervention Program (DC TIP) → \$733,304
- U.S. Policy Program → \$393,976
- Public Outreach and Communications (POC) Program → \$282,138
- Polaris Project New Jersey - NJ Trafficking Intervention Program (NJ TIP) → \$160,132
- Polaris Project Japan - Japan Trafficking Intervention Program (JTIP) → \$130,648
- Polaris Project Colorado Office → \$82,862
- Strategic Implementation and Institutionalization Program → \$21,607

**Training, Technical Assistance, and Strategic Support Program (TTASP) → \$737,117**

*National Training and Technical Assistance* > In 2009, Polaris Project continued to function as the national training and technical assistance provider for the U.S. Department of Health and Human Services (HHS) Anti-Trafficking in Persons Division (ATIP). In this role, Polaris Project was available to provide direct training, technical assistance, and strategic support services to a variety of anti-trafficking programs, mostly based in the United States, including direct outreach grantees, Rescue and Restore coalitions, regional intermediary grantees, and victims' services providers throughout the United States. Training and technical assistance methods included offering presentations and trainings, materials reviews, phone consultations, and direct intensive on-site technical assistance. During this period, Polaris Project provided technical assistance services to a total audience of 6,681 through more than 230 different efforts. Audience types included: service providers from diverse fields; community members and coalitions; foreign diplomats and anti-trafficking advocates; members of local and state government and senior federal officials; armed service personnel; educators and school administrators; legal professionals and faith-based advocates. As a part of this program, Polaris Project also remained active in several local and federal law enforcement task forces and working groups and numerous Federally-funded research studies on trafficking in the United States.

*Operation of the National Human Trafficking Resource Center (NHTRC) Hotline* > During the full year of 2009, Polaris Project continued our cooperative agreement with the U.S. Department of Health and Human Services (HHS), Anti-Trafficking in Persons Division (ATIP) to run and operate the National Human Trafficking Resource Center (NHTRC), the toll-free, national hotline on human trafficking in the United States. The NHTRC works to help improve the national response to protect victims of human trafficking in the by providing victims with a range of comprehensive services. Available services include: crisis response, urgent and non-urgent referrals, tip reporting, and comprehensive anti-trafficking resources and technical assistance for the anti-trafficking field and those who wish to get involved. The NHTRC

continued to maintain the National Contacts database which was expanded and moved online, and the web-based Call Tracking Database which was revised to improve data quality and add increased reporting functionality. In May of 2009, the NHTRC launched a website ([www.TraffickingResourceCenter.org](http://www.TraffickingResourceCenter.org)) to provide increased access to training materials and NHTRC services. This program is also supported by a variety of in-kind resources and public private partnerships with Lexis Nexis and Salesforce.com. The NHTRC also continued to work in collaboration with the infrastructure of the anti-trafficking movement in the U.S. which includes HHS Rescue and Restore Coalitions, DOJ-funded Human Trafficking Task Forces, FBI Innocence Lost Task Forces, Federal victims' services and outreach grantees, statewide human trafficking task forces, community-based initiatives and on-going research projects. During 2009, the NHTRC hotline received and responded to 7,637 calls and 748 emails which referenced nearly 1,000 potential victims of human trafficking nationwide.

*Leadership Development* > Polaris Project's Fellowship Program is one of the premier leadership development programs in the anti-trafficking movement, having initially launched in 2003. For the past seven years, this unique program invests in the future sustainability of the anti-trafficking movement by training emerging leaders, students, and professionals with varying levels of experience to become skilled and capable agents for social change working on combating human trafficking worldwide. Polaris Project Fellows dedicate their passion and skills in an intensive three to five month service and advocacy practicum based in our offices, providing essential support to programs and services of the organization, while learning valuable skills and program experience. Throughout 2009, Polaris Project provided this intensive leadership development and training practicum to 40 Fellows who provided core program and organization support on a full-time or part-time basis. Fellows contributed 19,500 hours of service, estimated at approximately \$380,445 of in-kind support (distributed by 72.2% program, 11.7% administrative and 16.1% fundraising).

#### **DC Trafficking Intervention Program (DC TIP) → \$733,304**

*Direct Client Services* > In 2009, Polaris Project's local Client Services office based in Washington, DC continued to operate its core programmatic areas focused on providing direct social services to victims of human trafficking in the Washington, DC greater metropolitan region. Uniquely, our organization serves and advocates for all types of human trafficking victims, including men and women, adults and children, foreign nationals and U.S. citizens, and victims of sex trafficking and forced labor. The core services we offer include crisis intervention and crisis response, comprehensive case management, client support groups, individual mental health therapy, job training, and transitional housing and shelter through a scatter-site model of individual apartment units. In 2009, our DC local client services team provided direct social services to 62 victims of human trafficking. Our Sex Trafficking Assessment Team (STAT) also responded to 30 "STAT" referrals from Federal and local law enforcement and other community partners. In addition, the Client Services staff conducted 71 prevention and education group sessions, and reached out to 600 youth in high schools, detention centers, mentoring groups, and group homes in Maryland, Northern Virginia, and Washington, DC. Furthermore, Client Services offered a Job Training Program in collaboration with Sasha Bruce YouthWorks, a Washington, DC-based non-profit organization. The Job Training Program was offered three

times during the year to multiple groups of students who attended and successfully completed the program. During 2009, the DC Client Services team provided 1,823 nights of transitional housing to six victims of trafficking. Case management services offered to the victims included weekly individual meetings to assess level of progress, referrals, crisis intervention, and accompaniment to appointments and law enforcement interviews. Staff also offered therapy to five victims of trafficking.

*Training and Movement Building* > In 2009, Polaris Project continued to serve in a central support role in the operations, activities, and implementation of the DOJ-funded DC Human Trafficking Task Force, which has been in existence since 2004. The DC Human Trafficking Task Force is led by the DC US Attorney's Office (USAOs) and the Metropolitan Police Department (MPD) with dozens of partner government and non-governmental agencies, including the FBI, Immigration and Customs Enforcement (ICE), and the Department of Labor Wage and Hour Division. Our local team was also active in the Maryland Human Trafficking Task Force, and the Northern Virginia Human Trafficking Task Force. Also in the Washington, DC greater metropolitan area, Polaris Project provided briefings and coordinated with law enforcement efforts, provided specialized training and technical assistance on anti-trafficking efforts to law enforcement and service providers, and supported Human Trafficking Awareness Month in September and a community-led walk against trafficking in Washington, DC. We also continued to help support the DC Stop Modern Slavery (SMS) Group, which Polaris Project launched in 2004. Five years later, this group is now community-led, and has over 800 members.

#### **U.S. Policy Program** → \$393,976

Based out of our Washington D.C. headquarters office, Polaris Project's U.S. Policy Program works to advance state and federal policy on human trafficking issues, including topics such as the crime of human trafficking, providing services to trafficking victims, training state employees on human trafficking, and educating the public. The U.S. Policy Program focuses on building a national policy framework for enforcement against human trafficking and ensuring sustained resources for the anti-trafficking field through policy advocacy at the Federal and state levels, as well as in the appropriations arena. The goal is to alter the fundamental market dynamics of "high profit and low risk" that motivate human traffickers. At the Federal level, we attended meetings, provided technical assistance documentation, and generally helped to support the 2008 reauthorization of the Trafficking Victims Protection Act (TVPA) of 2000, the federal legislation that criminalizes the conduct of traffickers and protects victims in the United States. This reauthorization took effect in early 2009. Polaris Project was also an active participant in numerous policy-related coalitions, including the Alliance To End Slavery and Trafficking (ATEST). In the appropriations arena, Polaris Project's policy team participated in a minimal level of appropriations advocacy for FY10 appropriations.

In 2009, our policy advocacy team worked with or collaborated with partners in over 25 states by providing a Comprehensive Model State Law, offering rapid response technical assistance, conducting tailored analyses of existing state statutes, and providing tailored suggestions of how to incorporate anti-trafficking policy into current state codes. The team conducted an assessment

of human trafficking policy in all states, drafted issue briefs for priority issues, created state-specific legislator and advocacy briefs, and attended the National Conference of State Legislatures (NCSL) yearly conference. We also researched, development, and distributed our U.S. Policy Alert and Policy Alert Maps to national audiences in the field, providing comprehensive summaries of the status of trafficking bills in all 50 states and federal jurisdiction. In 2009, we migrated our Policy Alert function to a user-friendly online service provided through our main organizational web site. By the end of 2009, the team had tracked more than 100 human trafficking related bills from across the country. Staff members from the Policy team also played leadership positions in the End Demand Illinois (EDI) Campaign, the DC Human Trafficking Task Force, and the Maryland Human Trafficking Task Force.

#### **Public Outreach and Communications (POC) Program → \$282,138**

Operated out of our headquarters office, Polaris Project's Public Outreach and Communications (POC) program exists to raise public awareness, build grassroots community involvement, and support advocacy initiatives related to combating human trafficking. In 2009, this program organized or supported dozens of related awareness-raising events throughout the country, both sponsored by Polaris Project or by other partnering organizations. The program also supported the operation and implementation of numerous community-wide public awareness and community mobilization events all using the common slogan that "Slavery Still Exists." The POC team helps to advocate for the creation and implementation of state and federal policies combating human trafficking; and publicizing the National Human Trafficking Resource Center (NHTRC) hotline. The POC team is well-integrated with all of Polaris Project's other departments, and it serves as a stand-alone program as well as in a support function for other programmatic initiatives.

In 2009, POC leveraged its use of traditional media, new media, and Polaris Project's Grassroots Network (GN) of over 12,000 supporters. POC works with local, national, and international media sources to generate media stories on human trafficking, in both a proactive and reactive basis. Additionally, POC started proactively engaging the online community via the social networking sites of Twitter, Facebook, and Change.org, updating these sites regularly. Throughout 2009, these efforts yielded the constant growth of our online presence. The POC team also continued to publicize and maintain the Polaris Project main web site and make daily updates to our online Action Center, which offers community members meaningful ways to take action to combat human trafficking. POC also continued to communicate regularly to the Grassroots Network via email blasts – including calls to action (policy advocacy), informational updates (news and breaking cases), and appeals for donations (fundraising opportunities and events).

#### **Polaris Project New Jersey - NJ Trafficking Intervention Program (NJ TIP) → \$160,132**

*Direct Victim Outreach* > In 2009, Polaris Project's New Jersey office continued the operation of multiple programs, including the NJ Victim Outreach Project, which exists as part of the New Jersey Trafficking Intervention Program (NJ TIP). This outreach project conducts direct victim

outreach and identification for victims of human trafficking. The goal of direct outreach is to make contact with potential victims of trafficking to let them know about their rights, about services available to them, and about hotlines they can call. In conjunction with this direct outreach, we operated multi-lingual hotlines in English and Spanish for potential victims to call.

*Client Services* > In 2009, Polaris Project continued to provide direct client services for victims of human trafficking through our office in Newark, New Jersey. Our NJ client services team served 12 victims in 2009. Polaris Project staff provided bilingual case management services to victims of severe forms of trafficking, many of whom were part of Federal trafficking cases based in New Jersey. Services provided during 2009 included: comprehensive case management, provision of basic needs, accompaniment to appointments, criminal justice advocacy, referrals for housing, emergency response, referrals for legal services, referrals for health and mental health services, and emotional support. Polaris Project New Jersey staff also operated the Sex Trafficking Assessment Team "STAT" program in New Jersey, responding to emergency referrals from law enforcement and providing sex trafficking assessments. 2009 was a time of growth and development for our client services capacity in New Jersey, including the formulation of many new community partnerships with referral organizations.

*Community Collaboration* > Throughout 2009, our New Jersey program staff responded to many requests to provide trainings, presentations, and briefings on the topics of human trafficking and the commercial sexual exploitation of children (CSEC) from diverse audiences including service providers, government officials, and Federal and local law enforcement. In northern New Jersey, we played a leadership role in the continued operation of the Newark Coalition Against Human Trafficking. New Jersey staff collaborated with law enforcement through meetings and giving law enforcement referrals on potential trafficking locations identified through field research that resulted in multiple federal investigations being launched. Polaris Project New Jersey is also an active member of the New Jersey Statewide Human Trafficking Task Force. Our office in New Jersey continues to be one of the only anti-trafficking specific non-profits focusing exclusively on the issue of human trafficking in the state of New Jersey.

#### **Polaris Project Japan - Japan Trafficking Intervention Program (JTIP) → \$130,648**

*Victim Outreach and Services* > Polaris Project Japan continued to operate toll-free multi-lingual hotlines in Japanese, English, and Korean five days a week through extended business hours and received a total of 348 calls in 2009. Calls into our Japan hotlines occur for a variety of purposes, such as general information, reporting tips about potential human trafficking situations, and victim referrals. In a social service capacity, Polaris Project Japan provided direct assistance to 25 victims of human trafficking in 2009 who were primarily Japanese youth who had been commercially sexually exploited. Services included assistance with some or all of the following: emergency shelter, medical care, legal assistance, and accompaniment to criminal justice proceedings and meetings with law enforcement. In 2009, Polaris Project Japan launched an outreach and prevention website for children and women at-risk or those who may have already been victimized by sex trafficking. In addition to direct street outreach being conducted in high risk areas like Shinjuku and Shibuya where we distribute stickers, pocket tissues, or other

cosmetic goods, the website provides information to victims through an online medium which is increasingly effective in reaching out to youth.

*Training, Awareness-raising Activities, and Advocacy* > Additional areas of focus for the Japan Trafficking Intervention Program (JTIP) include training, advocacy efforts, and awareness-raising. In 2009, nearly 3,000 people were trained on human trafficking and CSEC by our Japan office in order to raise awareness, identify and serve victims, and bring traffickers to justice. Audiences that we trained included: law enforcement, embassies, school teachers, social service providers, medical professionals, and general community members. Training was also conducted for fellows and volunteers to extend our reach in providing services, conducting trainings, and engaging in prevention work with youth. Polaris Project Japan also engaged in federal advocacy efforts as a member of the Japan Network Against Trafficking in Persons (JNATIP) and additionally we engaged in discussions with Amazon Japan to encourage them to stop the sale of "Junior Idol" products which contain sexually explicit images of children.

#### **Polaris Project Colorado Office → \$82,862**

*Training, Awareness-raising Activities, and Advocacy* > In 2009, Polaris Project's Colorado office continued local advocacy, training, and movement-building initiatives related to combating human trafficking. Program staff conducted numerous trainings, presentations, and other awareness events for community members in Colorado, and nearby states such as Wyoming and Washington. The Colorado office also maintained continued participation in the statewide anti-trafficking coalition entitled the Colorado Network to End Human Trafficking (CoNEHT) and the DOJ-funded FBI-led Human Trafficking Working Group. In 2009, we also continued participating in the "Colorado Collaborative" which was launched in 2008 as a result of an HHS Anti-Trafficking in Persons (ATIP) Division grant. As part of this initiative, four organizations came together to work in partnership on community outreach, training, and capacity building for the state of Colorado (Colorado Legal Services, Polaris Project Colorado, Praxus, and Rocky Mountain Immigrant Advocacy Network are the four collaborating organizations). Data collection also continued for our office's Community Needs Assessment (CNA) project, which is assessing the strengths and challenges of organizations working on anti-trafficking issues throughout the state. Our office also helped to identify victims/survivors of trafficking through various community outreach initiatives including professional outreach in youth service settings. The end of 2009 marked the completion of Polaris Project's local office presence in Colorado. Plans are now underway for the Colorado office to formally launch as a separate 501c3 non-profit organization entitled the Laboratory to Combat Human Trafficking (LCHT).

#### **Strategic Implementation and Institutionalization Program → \$21,607**

2009 marked the launch of one of Polaris Project's new program areas, currently titled the Strategic Implementation and Institutionalization Program. One of the main goals of this program area is to provide structured support and guidance to states and actors in various sectors to assist with the implementation of anti-trafficking efforts. One of the first states where this program was active in 2009 was in Ohio. Polaris staff assisted State Senators and the Ohio



Attorney General's Office to conceptualize the Ohio Trafficking in Persons Research Commission, which was launched in August 2009. Polaris Project played a core role in designing and helping to launch this commission, including providing the initial training at the launch of the commission and participating as a main member of both the legislative and law enforcement training subcommittees. In March 2009, program efforts also focused on providing targeted training for law enforcement. We began by setting up trainings for law enforcement in Ohio, Virginia, and Illinois, which served as three target states for implementation. Coordination with high-level law enforcement officials in these states is a key part of launching training initiatives. In September 2009, Polaris Project staff were asked by the Ohio Peace Officers Training Academy (housed under the Ohio Attorney General's Office) to draft law enforcement human trafficking curriculum for the state of Ohio. Similar training efforts also occurred in Virginia, including providing trainings at the Northern Virginia Criminal Justice Academy. In 2009, Polaris Project trained over 250 officers in Ohio and over 300 officers in Virginia.

Another area of focus for this program is providing training and support to various Federal agencies, including the U.S. Department of Defense. In August 2009, Polaris Project was the only non-governmental organization (NGO) asked to provide training at the annual Department of Defense Trafficking in Persons Conference. This relationship then led to the DOD agreeing to post Polaris Project's operation of the NHTRC hotline on both the DOD and Army websites. Polaris Project was also asked to be the only NGO consultant on the DOD mandatory online training modules on human trafficking issues, which are in the process of being revamped in 2009. Polaris Project staff spent over one year as core consultants on this training, which included travel to Wisconsin to assist in the design of the mandatory training course. Once the training is completed, it will be used to train over 3 million military and civilian personnel on an annual basis.